

**Power of Attorney to Securities Investment Trust/Consulting Enterprise for Inquiring for Employees about Securities Account Opening and Trading Data of Minor Children**

(With the Notification and Letter of Consent in Regard to the Collection, Processing and Use of Personal Information)

To: Taiwan Stock Exchange Corporation

For and on behalf of my minor child named \_\_\_\_\_, I, \_\_\_\_\_, \_\_\_\_\_, hereby appoint \_\_\_\_\_ Securities Investment Trust/Consulting Co., Ltd. to inquire about the personal information of the client such as account opening, brokerage and trading data at the centralized stock exchange market for an unlimited number of times as necessary for its business operation during the period from [ mm/dd/yyyy ] to [ mm/dd/yyyy ].

Date:

<p>Client</p>	<p>(Please sign or seal)</p>	<p>Attach a photocopy of the front of the Client's identification (R.O.C. passport with Overseas Chinese Identity Endorsement, Overseas Chinese Identity Certificate, Alien Resident Certificate, foreign passport, Mainland Chinese Entry and Exit Permit, identification issued by an overseas mission, or census register) here (To submit a household registry with notes made etc., please attach a photocopy to this application)</p>	
<p>National I.D. No. (Foreign Investor Code No. or Exit &amp; Entry Permit No.)</p>			
<p>TEL</p>			
<p>Address</p>	<p>City/County Village (Town, Administrative City, District)      Cun/Li Lin      Road/Street Section      Lane      Alley No.      -      F</p>		
<p>Legal Representative</p>	<p>(Please sign or seal)</p>		<p>Legal Representative  (Please sign or seal)</p>
<p>Attach a photocopy of the front of the Legal Representative's identification (R.O.C. passport with Overseas Chinese Identity Endorsement, Overseas Chinese Identity Certificate, Alien Resident Certificate, foreign passport, Mainland Chinese Entry and Exit Permit, or identification issued by an overseas mission)</p>		<p>Attach a photocopy of the front of the Legal Representative's identification (R.O.C. passport with Overseas Chinese Identity Endorsement, Overseas Chinese Identity Certificate, Alien Resident Certificate, foreign passport, Mainland Chinese Entry and Exit Permit, or identification issued by an overseas mission)  (If the parents cannot jointly represent their minor child, please attach an independent representation agreement of the other parent or sufficient proof of one of the parents' right to exercise sole custody.)</p>	

<p>(If the parents cannot jointly represent their minor child, please attach an independent representation agreement of the other parent or sufficient proof of one of the parents' right to exercise sole custody.)</p>	
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<p>Notes:</p> <ol style="list-style-type: none"> <li>1. In the event the Client terminates the appointment of the Trustee, please reclaim the original of the Power of Attorney from the Client.</li> <li>2. The appointed SITE/SICE shall assign persons (limited to employees on active duty of the Client) to make inquiries at the Taiwan Stock Exchange Corporation.</li> <li>3. After verification of an original, please affix the "this is a true copy of the original" stamp to the photocopy.</li> <li>4. In case of change of the last name, first name, or whole name, a photocopy of the household registry recording the change or of the certificate of name change record shall be included, and attached to the Power of Attorney.</li> <li>5. If the inquiries are made by an employee on behalf of a spouse being a person subject to an order for the commencement of guardianship, please check <input type="checkbox"/> above and provide the custody documents.</li> <li>6. When processing the inquiry, the Taiwan Stock Exchange Corporation may contact to verify if the Client has appointed the SITE/SICE to make the inquiry (as below).</li> </ol>	<p>(Corporate seal and representative's personal seal)</p>
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Notification	TWSE staff	Time of notification:
For TWSE use only	Verify if the request is true: Yes <input type="checkbox"/> No <input type="checkbox"/> : Describe the reason:	

## Notification and Letter of Consent in Regard to the Collection, Processing and Use of Personal Information (for Client)

For the purposes of handling “requests for and requests to review and make copies of the personal data of a data subject,” Taiwan Stock Exchange Corporation (hereinafter We, Us, Our, or [the “TWSE”]) needs to collect, process, and use your personal data. To protect your legitimate rights and interests, please read the following carefully (the purpose of collection and classification of personal data below are governed by the specific purposes and classification of personal data set forth in the Personal Data Protection Act announced by the Ministry of Justice):

1. Purpose of collection: 166 “Securities, futures, securities investment trusts and consultants related business.” We collect personal data to handle procedures pertaining to, and to respond to, your exercise of personal data rights such as the right to make an inquiry, the right to review, and the right to request a copy etc.

2. Classification of personal data

Personal data We collect include:

(1)C001 Type for identifying individuals: Name, address, telephone number, or foreign investor code number

(2)C003 Type for identifying in government data: I.D. card number, Alien Residence Certificate number, passport number, or Mainland Chinese Entry and Exit Permit number

(3)C011 Personal description: Gender, date of birth, nationality

(4)C021 Family: Name of spouse

(5)C023 Details of other family members: Names of minor children

(6)C033 Immigration: R.O.C. passport with Overseas Chinese Identity Endorsement, Overseas Chinese Identity Certificate, or identification issued by an overseas mission

(7)C034 Travel and other migration: Alien Resident Certificate, foreign passport, or Mainland Chinese Entry and Exit Permit

3. Time period, area, target and way of the use of personal data

(1)Time period: Data of this application will be deleted three years from the date of Our response to your application

(2)Area: Internal transmission within the territory of the R.O.C. and as required by laws and regulations

(3)Target: the personal data will be used by Us or furnished in accordance with laws and regulations to the our competent authority or other third persons

(4)Way: We will collect, process, and use your personal data digitally or in paper form

4. Rights of the data subject and way of exercising the rights:

You may exercise your data subject rights in personal data online, by telephone or in person at the TWSE, including the right to:

(1)make an inquiry and to review

(2)request a copy

(3)supplement or correct

(4)demand the cessation of collection, processing or use

(5)erase

However, erasure is not required if the collection, processing or use is necessary for the performance of a business duty, the specific purpose no longer exists, or the retention period has not expired. If necessary, you may call our investor service at TEL: 02-27928188.

5. Impact of the data subject’s refusal to provide personal data: You must provide the above personal data to Us for the aforementioned specific purpose. We may be unable to offer the above information request service to you should you be unable or fail to so provide.

6. Others:

This notification is effective with regard to requests to the TWSE to review account opening and trading data by persons authorized by the Trustee (i.e. the staff responsible for the matter). The Trustee may submit an unlimited number of requests to the TWSE on a regular or random basis.

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To the extent of business consistent with the above specific purposes for collection, I hereby agree that the TWSE may collect, process and use my personal information. After being notified of the above by the TWSE, I clearly understand the content of collection, processing and use of my personal information by the TWSE. I agree the Trustee may further authorize persons it designates (i.e. staff responsible for the matter) to make requests to the TWSE for review of securities account opening and trading data. I further agree the Trustee may submit an unlimited number of requests to the TWSE as necessary for its business operation on a regular or random basis during the period from [ mm/dd/yyyy ] to [ mm/dd/yyyy ].

Signed by (please sign or seal):

Legal Representative or Guardian (please sign or seal):

Legal Representative or Guardian (please sign or seal):

Date:

Notes:

1. If the data subject is a minor under seven years of age, the legal representative shall enter or stamp the name of said minor in the “name of data subject” column. (Article 13 of the Civil Code)
2. If the data subject is a minor seven years of age or above, it shall personally sign or seal the “name of data subject” column. (Article 13 of the Civil Code).