

Notification and Letter of Consent in Regard to the Collection, Processing and Use of Personal Information

(for Authorized Representative)

For the purposes of handling “requests for and requests to review and make copies of the personal data of a data subject,” Taiwan Stock Exchange Corporation (hereinafter We, Us, Our, or the TWSE) needs to collect, process, and use your personal data. To protect your legitimate rights and interests, please read the following carefully (the purpose of collection and classification of personal data below are governed by the specific purposes and classification of personal data set forth in the Personal Data Protection Act announced by the Ministry of Justice):

1. Purpose of collection: 166 “Securities, futures, securities investment trusts and consultants related business.” We collect personal data to handle procedures pertaining to, and to respond to, your exercise of personal data rights such as the right to make an inquiry, the right to review, and the right to request a copy, etc.
2. Classification of personal data
Personal data We collect includes:
 - (1)C001 Type for identifying individuals: name, address, telephone number, and audio/video files
 - (2)C003 Type for identifying in government data: I.D. card number, Alien Residence Certificate number, passport number, or Mainland Chinese Entry and Exit Permit number
 - (3)C011 Personal description: Gender, date of birth, nationality
 - (4)C033 Immigration: R.O.C. passport with Overseas Chinese Identity Endorsement, Overseas Chinese Identity Certificate, or identification issued by an overseas mission
 - (5)C034 Travel and other migration: Alien Resident Certificate, foreign passport, or Mainland Chinese Entry and Exit Permit
3. Time period, area, target and way of the use of personal data
 - (1)Time period: Data of this application will be deleted three years from the date of our response to your application; audio and video files will be deleted one year from the date of application.
 - (2)Area: Internal transmission within the territory of the R.O.C. and as required by laws and regulations
 - (3)Target: The personal data will be used by Us or furnished in accordance with laws and regulations to the our competent authority or other third persons
 - (4)Way: We will collect, process, and use your personal data digitally or in paper form
4. Rights of the data subject and way of exercising the rights:
You may exercise your data subject rights in personal data online, by telephone or in person at the TWSE, including the right to make an inquiry and to review; request a copy; request supplementation or correction. If you need to request the cessation of collection, processing or use, or request erasure, an application must be made in person at or in writing to the TWSE.

However, erasure is not required if the collection, processing or use is necessary for the performance of a business duty, the specific purpose no longer exists, or the retention period has not expired. If necessary, you may call Our investor service at TEL: 02-27928188.

5. Impact of the data subject’s refusal to provide personal data: You must provide the above personal data to Us for the aforementioned specific purpose. We may be unable to offer the above information request service to you should you be unable or fail to so provide.

To the extent of business consistent with the above specific purposes for collection, I hereby agree that the TWSE may collect, process and use my personal information. After being notified of the above by the TWSE, I clearly understand the content of collection, processing and use of my personal information by the TWSE.

Signed by (please sign or seal):

Date: