


## Application of Corporations/Institutions for Requesting Securities Account and Transaction Information

To:  
Taiwan Stock Exchange Corporation

\_\_\_\_\_ (name of corporation/institution) hereby authorizes \_\_\_\_\_ to act as its agent for requesting information of account openings, consignment orders or transaction records in the centralized securities exchange market.

Date: Year \_\_\_\_\_ Month \_\_\_\_\_ Date \_\_\_\_\_

Requested Information	<input type="checkbox"/> Details of securities accounts held at securities brokers (account opening information)  Information about securities transactions <input type="checkbox"/> Transactions record From Year _____ Month _____ Date _____ To Year _____ Month _____ Date _____ <input type="checkbox"/> Consignment orders From Year _____ Month _____ Date _____ To Year _____ Month _____ Date _____	<b>Important Information</b> ※ A corporation/institution applicant that has changed its name is required to provide supporting documents for change to its registration for verification by the TWSE. ※ For purpose of inquiry, provision of the application form and photocopying ID document and/or supporting documents are free of charge. ※ If the applicant makes the request in person or through an agent to the TWSE, or makes a request to the securities broker to receive information by mail: 1. For each request only for account opening information, a handling fee and computer processing fee of TWD 300 is charged per request per individual. 2. For each request only for transaction information, or for both account opening and transaction information, a handling fee and computer processing fee of TWD 500 is charged per request per individual. ※ Fees may be paid promptly by an in-person request, or, if required by a mail, by wire transfer to the following account: Bank Name: Cathay United Bank, Guanqian Branch (Bank Code 013); Account Name: Taiwan Stock Exchange Corporation; Account No.: 001030912922. An invoice will be separately sent by email.
Name of Institution		Attach the photocopy of the front page of the responsible person's National ID Card, or ROC passport page showing the Overseas Chinese Identity Endorsement, Overseas Chinese Identity Certification, Alien Resident Certificate, foreign passport, Entry Permit for People from the Mainland Area, or identification issued by an overseas mission here. (Attach a photocopy of the Corporation/Institution Registration Certificate etc. of the corporation/institution to this application.)
Tax ID Number (Foreign Investment Number)		
Telephone Number		
Email for receiving invoices		

Address of Institution		 (Company Chop and Personal Seal of Responsible Person)
Name of Authorized Agent	(Sign or Affix Seal)	Attach the photocopy of the front page of authorized agent's National ID Card, or the page of ROC passport showing Overseas Chinese Identity Endorsement, Overseas Chinese Identity Certification, Alien Resident Certificate, foreign passport, Entry Permit for People from the Mainland Area, or identification issued by overseas mission here.
National ID Number		
Telephone Number		
Authorized Agent's Address		

Note: Stamp the following words on the photocopy of the certificates after the original has been verified: "Certified Copy of the Original."