

Undertaking

To: Taiwan Stock Exchange Corporation

The client, including _____ etc. (as listed in Attachment I), is an employee of this company or its spouse or minor child. The originals of the identifications of the internal auditing/legal compliance personnel appointed by the client, named _____, are confirmed true upon verification. The client has indeed appointed this company to make inquiries about its personal information such as account opening, brokerage trading and trading data at the centralized stock exchange market and further authorizes and appoints _____ to submit an application to you for inquiring about information and collecting inquiry results. This company and the aforementioned authorized personnel represent that in collecting the personal information of the client, they have not engaged in acts of collection, processing, and use beyond the scope of appointment and authorization.

I agree to be held civilly and criminally liable under the law for forgery etc. if any of the above is false or untrue.

Date _____

Applicant	i.e. Client (see the attached list for details)		
Trustee	<div style="border-bottom: 1px solid black; margin-bottom: 5px;"></div> <div style="text-align: center;">(Name of Company/Firm)</div>	<div style="border: 2px solid blue; width: 100px; height: 100px; margin: 0 auto;"></div>	<div style="border: 2px solid blue; width: 100px; height: 100px; margin: 0 auto;"></div> <div style="text-align: right;">(Company's/Firm's Seal)</div>
Uniform Business No.		<div style="border: 2px solid blue; width: 80px; height: 80px; margin: 0 auto;"></div>	<div style="border: 2px solid blue; width: 80px; height: 80px; margin: 0 auto;"></div> <div style="text-align: right;">(Representative's Seal)</div>
TEL			
Company/ Firm Address	<div style="display: flex; justify-content: space-between;"> <div>City/County (Town, Administrative City, District) Cun/Li Lin Road/Street Section Lane Alley No. - F</div> </div>	<div style="border: 2px solid blue; width: 80px; height: 80px; margin: 0 auto;"></div>	<div style="border: 2px solid blue; width: 80px; height: 80px; margin: 0 auto;"></div> <div style="text-align: right;">(Internal audit or legal compliance supervisor signature or seal)</div> <div style="border-bottom: 1px solid black; width: 150px; margin: 10px auto;"></div>