



5.股東背景資料(基金型態者可無須填寫)			
* 持股前三名或持股比例達 5% 以上(含)之股東，請填寫名稱與國籍。 * 上述股東屬中華民國籍者或於中華民國設立登記者，請填註身分證號碼或營利事業統一編號。	名 稱	國 籍	統一編號或身分證號碼
6.其他基本資料 (以下資料如無者請填"N/A")			
	名 稱	註冊地	是否為大陸地區人民、法人、團體、其他機構於第三地區投資之公司(Y/N)
管理公司(Management Company) Email:			
受託公司(Trustee Company; 信託型基金請務必填寫，本申請登記表由受託公司填具)			
顧問公司(Advisory Company)			
全球保管銀行(Global Custodian)			
7.內部人資料 (以下資料如無者請填"N/A")			
<p>申請人有下列情事者，請務必勾選：</p> <p>(1) <input type="radio"/> 申請人業經經濟部投資審議 <b>司委員會</b>、<b>產科學工業園區管理局</b> 或 <b>加工出口國家科學及技術委員會各科學園區管理局處</b> 專案核准投資，其核准函文號： _____。</p> <p>(2) 申請人具上市(櫃)、第一上市(櫃)或興櫃公司內部人身分：  <input type="radio"/> 本人：申請人為上市(櫃)、第一上市(櫃)或興櫃公司內部人，其公司之股票代號： _____。  <input type="radio"/> 關係人：申請人為上市(櫃)、第一上市(櫃)或興櫃公司內部人之關係人(配偶、未成年子女或利用他人名義持有者)，其公司之股票代號：_____，該內部人之名稱： _____、身分編號：_____。</p> <p>申請人於申請時尚未具上市(櫃)、第一上市(櫃)或興櫃公司內部人或其內部人之關係人身分，未來具有該等身分時，須依發行公司內部人申報作業相關規定主動申報。</p>			
申請日期： 代理人： 代表人： 聯絡人員： 聯絡電話： 電子郵件信箱： 保管機構：			

申請人：\_\_\_\_\_

有權簽字人姓名：\_\_\_\_\_

職稱：\_\_\_\_\_

填表日期：\_\_\_\_\_

# Exhibit 5-1

## Offshore Foreign Institutional Investor Registration Form (for Original QFII Sub-Account Registration as FINI)

<b>Information of QFII Sub-Account:</b>	
F number of the QFII Sub-Account:	
QFII Sub-Account Name in Chinese:	
QFII Sub-Account Name in English:	
<b>1. Applicant</b>	
Name in Chinese:	
Name in English: (Applicant's name shall be the same as indicated on supporting identification documents.)	
Establishment Date:	
<b>2. Nationality</b>	
<input type="checkbox"/> 1. Japan <input type="checkbox"/> 2. United States <input type="checkbox"/> 3. United Kingdom <input type="checkbox"/> 4. Other European Country _____ <sup>1</sup> <input type="checkbox"/> 5A. Hong Kong <input type="checkbox"/> 5B. Macao <input type="checkbox"/> 6A. New Zealand <input type="checkbox"/> 6B. Australia <input type="checkbox"/> 7. Others _____ <sup>1</sup>	
<b>3. Type of Applicant</b> (Please check applicable box and fill in relevant information, as appropriate)	
<input type="checkbox"/> If applicant is a fund	<input type="checkbox"/> If applicant is not a fund
<b>Fund Type:</b> <input type="checkbox"/> Fund established as a corporation <input type="checkbox"/> Trust fund <input type="checkbox"/> Fund established as a partnership <input type="checkbox"/> Pension fund <input type="checkbox"/> Mutual fund <input type="checkbox"/> Unit trust <input type="checkbox"/> Others ( Please specify _____ )	<b>Type:</b> <input type="checkbox"/> Bank <input type="checkbox"/> Insurance company <input type="checkbox"/> Securities firm or FCM <input type="checkbox"/> Others _____ <sup>2</sup>
<b>Offering Type:</b> <input type="checkbox"/> Private placement fund <input type="checkbox"/> Public offering fund	
<b>4. Declaration:</b> (effective from the execution date)	

<sup>1</sup> Please specify the country.

<sup>2</sup> Please specify.

<p>(1) Pursuant to the Articles or Memorandum of Incorporation or other constitutive documents or agreements for establishment of the applicant, the nature of applicant's overall investment or trading strategy is for the following purposes (Please tick the appropriate box)</p> <p><input type="checkbox"/> Investment</p> <p><input type="checkbox"/> Hedging</p> <p>(2) The documents and information provided by the applicant are true and correct.</p> <p>(3) The applicant will not use unjust measures to affect the fairness or order of the R.O.C. financial/securities market.</p>	<p>(1) The funds to be remitted by the applicant or its client (who is the actual investor) to invest in R.O.C. securities or to trade R.O.C. futures will not come from Taiwan or from Mainland China.</p> <p>The applicant or its client (who is the actual investor) shall not be an individual, juristic person, organization or any other institution from Mainland China or its third-area invested company.</p> <p>(2) The documents and information provided by the applicant are true and correct.</p> <p>(3) The applicant will not use unjust measures to affect the fairness or order of the R.O.C. financial/securities market.</p>
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**5. Background Information on Applicant** (if the applicant is not a fund)

	Name	Nationality	R.O.C. Business Registration No. or Identification No.
<p>Top Three Shareholders or Shareholders who own 5% or more of applicant's share capital.</p> <p>*If any of the shareholders holds R.O.C. nationality or is registered in the R.O.C, please complete the identification number or business registration number.</p>			

**6. Other Information** (Please mark N/A, if such item is not applicable)

	Name	The place of registration	A company in which an individual, juristic person, organization, or other institution of the Mainland Area invests in any third area. (Y/N)
Asset Management Company			
E-mail Address:			
Trustee <sup>3</sup>			
Advisory Company			
Global Custodian			

<sup>3</sup> If applicant is a trust, the name of the trustee must be filled in and this registration form must also be filled out by the trustee.

**7. Insider Information** (Please mark N/A, if such item is not applicable)

Please tick the applicable box and fill in relevant information if it meets the conditions.

(1)  The applicant has obtained foreign investment approval from the **Department of Investment Review or Bureau of Industrial Parks, ~~Commission~~**, Ministry of Economic Affairs **(~~MOEAIC~~)**, or the Science Park Bureaus, **National Science and Technology Council** **or the Export Processing Zone Administration**. The serial number of the approved document : \_\_\_\_\_ .

(2) The applicant is an insider of TWSE/TPEX-listed or emerging market companies.

Principal : The applicant itself is an insider of TWSE/TPEX-listed or emerging market companies. The company ticker : \_\_\_\_\_ .

Related person : The applicant is a related person (spouse, minor children or nominee) of an insider of TWSE/TPEX-listed or emerging market companies. The company ticker : \_\_\_\_\_ . The name of the insider : \_\_\_\_\_ , and the identification number : \_\_\_\_\_ .

If at the time of the registration, the applicant is not an insider or a related person of an insider of TWSE/TPEX-listed or emerging market companies, if and when the applicant obtains such status, the applicant is required to self-report in accordance with the rules governing reporting by insiders of public companies.

Application Date:

Agent:

Representative:

Contact Person:

Telephone Number:

E-mail Address:

Taiwan Custodian:

Attachments:

1. Power of attorney to agent or letter of appointment to representative.
2. Application's identification documents as required by the Directions.
3. Registration form.

Applicant:

\_\_\_\_\_

Authorized  
Signatory:

\_\_\_\_\_

Title:

\_\_\_\_\_

Execution Date:

\_\_\_\_\_