

申請日期：

代理人：

代表人：

聯絡人員：

聯絡電話：

電子郵件信箱：

保管機構：

檢附附件清單：

1. 代理人授權書或代表人指派書。
2. 符合本作業要點規定之身分證明文件。
3. 申請登記之境外華僑及外國人登記表（英文版）

**香港及澳門申請人符合香港澳門關係條例第4條規定者，應檢附符合規定之證明文件，準用外國人或華僑回國投資及結匯相關規定。

1. 申請人國別為香港者應為香港居民，其指具有香港永久居留資格，且未持有英國國民(海外)護照或香港護照以外之旅行證照者。
2. 申請人國別為澳門者應為澳門居民，其指具有澳門永久居留資格，且未持有澳門護照以外之旅行證照或雖持有葡萄牙護照但係於葡萄牙結束治理前於澳門取得者。

姓名：_____

填表日期：_____

Exhibit 1-1-2

Registration Application Form for Offshore Overseas Chinese & Foreign Natural Persons to Invest in ROC Securities or to Trade ROC Futures

1. Applicant
Name in Chinese:
Name in English: (Applicant's name shall be the same as indicated on supporting identification documents.)
Date of Birth:
2. Nationality
<input type="checkbox"/> 1. Japan <input type="checkbox"/> 2. United States <input type="checkbox"/> 3. United Kingdom <input type="checkbox"/> 4. Other European Country _____ ¹ <input type="checkbox"/> 5A. Hong Kong** <input type="checkbox"/> 5B. Macao** <input type="checkbox"/> 6A. New Zealand <input type="checkbox"/> 6B. Australia <input type="checkbox"/> 7. Others _____ ¹
3. Declaration: (effective from the execution date)
(1) Does the applicant intend planning to nominate candidates for directors or supervisors for the companies in which they invest? <input type="checkbox"/> Yes (Please attach the nomination plan) <input type="checkbox"/> None (2) The funds to be remitted by the applicant to invest in R.O.C. securities or to trade R.O.C. futures will not come from Taiwan or from Mainland China. (3) The applicant shall not be an individual from Mainland China.** (4) The documents and information provided by the applicant are true and correct. (5) The applicant will not use unjust measures to affect the fairness or order of the R.O.C. financial/securities market.
4. Insider Information (Please mark N/A, if such item is not applicable.)
Please tick the applicable box and fill in the relevant information if it meets the conditions. (1) <input type="radio"/> The applicant has obtained foreign investment approval from the Department of Investment Review or Bureau of Industrial Parks, Ministry of Economic Affairs, or the Science Park Bureaus, National Science and Technology Council. The serial number of the approved document : _____.

¹ Please specify the country.

(2) The applicant is an insider of TWSE/TPEX-listed or emerging market companies.

☐Principal : The applicant itself is an insider of TWSE/TPEX-listed or emerging market companies. The company ticker : _____.

☐Related person : The applicant is a related person (spouse, minor children or nominee) of an insider of TWSE/TPEX-listed or emerging market companies. The company ticker : _____.

The name of the insider : _____ , and the identification number : _____ .

If at the time of the registration, the applicant is not an insider or a related person of an insider of TWSE/TPEX-listed or emerging market companies, if and when the applicant obtains such status, the applicant is required to self-report in accordance with the rules governing reporting by insiders of public companies.

Application Date:

Agent:

Representative:

Contact Person :

Telephone Number :

E-mail Address :

Taiwan Custodian:

Attachments :

1. Power of attorney to agent or letter of appointment to representative;
2. Applicant's identification documents as required by the Directions; and
3. Registration application form.

**** The applicant who is a Hong Kong or Macao resident as defined under Article 4 of the Laws and Regulations Regarding Hong Kong & Macao Affairs shall submit the required identification documents and apply mutatis mutandis to the regulations governing the investment and remittance by foreign nationals and overseas Chinese.**

1. The Hong Kong applicant shall be a Hong Kong resident who has permanent residency in Hong Kong and does not hold a travel document other than a British (Overseas) passport or a Hong Kong passport.
2. The Macao applicant shall be a Macao resident who has permanent residency in Macao and does not hold a travel document other than a Macao passport or holds a Portuguese passport obtained prior to the end of Portuguese rule.

Name :

Date: