

表 1-3-1

Form 1-3-1

境外華僑及外國人更名申請登記表

Registration Application Form for Name Change

by Offshore Overseas Chinese & Foreign Nationals

身分編號： Investment Identification No.	
1. 申請人 1. Applicant	
舊中文名稱： Original Name in Chinese:	舊英文名稱： Original Name in English:
新中文名稱： New Name in Chinese:	新英文名稱： New Name in English:
2. 代理(表)人及保管銀行 2. Taiwan Agent / Representative & custodian bank	
代理人： Agent:	保管銀行： Custodian bank:
(公司章) (Company Seal)	
聯絡人員： Contact Person:	代表人： Representative:
電話： Tel No.:	電子郵件信箱： Email Address:
3. 型態(請就所屬非基金型態或基金型態擇一勾選) 3. Type of Applicant (please check applicable box and fill in relevant information, as appropriate.)	
<input type="checkbox"/> 基金型態 <input type="checkbox"/> If Applicant is a fund	<input type="checkbox"/> 非基金型態 <input type="checkbox"/> If Applicant is not a fund

<p>類別：</p> <p>Type：</p> <p><input type="checkbox"/>公司型基金</p> <p><input type="checkbox"/>a fund established as a corporation</p> <p><input type="checkbox"/>信託型基金</p> <p><input type="checkbox"/>a trust fund</p> <p><input type="checkbox"/>合夥型基金</p> <p><input type="checkbox"/>a fund established as a partnership</p> <p><input type="checkbox"/>退休型基金</p> <p><input type="checkbox"/>a pension fund</p> <p><input type="checkbox"/>共同基金</p> <p><input type="checkbox"/>a mutual fund</p> <p><input type="checkbox"/>單位信託</p> <p><input type="checkbox"/>a unit trust</p> <p><input type="checkbox"/>其他型基金</p> <p><input type="checkbox"/>Others</p>	<p>類別：</p> <p><input type="checkbox"/>銀行</p> <p><input type="checkbox"/>a bank</p> <p><input type="checkbox"/>保險公司</p> <p><input type="checkbox"/>an insurance company</p> <p><input type="checkbox"/>證券商或期貨商</p> <p><input type="checkbox"/>a securities firm or a futures commission merchants</p> <p><input type="checkbox"/>1.上市(櫃)、興櫃公司海外子公司或分公司員工集合專戶</p> <p><input type="checkbox"/>1. segregated collective account for employees of an overseas subsidiary or branch of a TWSE (or GTSM) listed or emerging stock company</p> <p>2.第一上市(櫃)及興櫃公司員工集合專戶</p> <p>2. segregated collective account for employees of a TWSE (or GTSM) primary listed or emerging stock company.</p> <p><input type="checkbox"/>1.第一上市(櫃)及興櫃公司</p> <p>1. TWSE (or GTSM) primary listed or emerging stock company</p> <p>2. 第二上市(櫃)公司</p> <p>2. TWSE (or GTSM) secondary listed company</p> <p>(請敘名</p> <p>(Please specify</p> <p>證券代號：_____</p> <p>Ticker Code:</p> <p>扣繳單位統一編號：_____</p> <p>Uniform ID number of tax withholding entity:</p> <p><input type="checkbox"/>其他</p> <p><input type="checkbox"/>other</p>
<p>4.聲明事項：(自簽署日起生效)</p> <p>4. Declaration：(effective from the date this registration form is signed)</p>	

<p>(1). 依申請人之基金章程或成立契約等文件，其投資或交易策略之性質，聲明係屬投資或避險目的： (請務必勾選)</p> <p style="padding-left: 40px;">○投資</p> <p style="padding-left: 40px;">○避險</p> <p>(1). Pursuant to the Articles or Memorandum of Incorporation or other constitutive documents or agreements for establishment of the applicant, the nature of applicant's overall investment or trading strategy is for the following purposes (Please check the appropriate box)</p> <p style="padding-left: 40px;">○investment</p> <p style="padding-left: 40px;">○hedging</p> <p>(2). 申請人所提供之文件與內容屬實且無虛假。</p> <p>(2). The documents and the contents provide by the applicant are true and accurate.</p> <p>(3). 申請人絕不以不當手段干擾金融市場運作。</p> <p>(3). The applicant will under no circumstances use inappropriate means to interfere with the operation of the financial markets.</p>	<p>(1). 申請人擬匯入 貴國投資有價證券或從事期貨交易之資金非來自臺灣或大陸地區。</p> <p>(1). The funds to be remitted by the applicant to invest in ROC securities or to trade ROC futures will not come from Taiwan or the Mainland Area.</p> <p>(2). 申請人所提供之文件與內容屬實且無虛假。</p> <p>(2). The documents by the applicant and the information they contain are truthful and accurate.</p> <p>(3). 申請人絕不以不當手段干擾金融市場運作。</p> <p>(3). The applicant will under no circumstances use inappropriate means to interfere with the operation of the financial markets.</p>
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申請人：_____

Applicant :

有權簽字人之姓名及簽章：_____

Name and Signature/Seal Of Authorized Signatory :

職稱：_____

Title:

填表日期：_____

Form Completion Date: