

表 6-6

同一證券經紀商開設二個以上交易帳戶註銷完成登記證明

Form 6-6

**Certificate of Completed Registration for Account Cancellation Where Two or More Trading Accounts Have Been Opened in the Same Securities Brokerage Firm**

|   |
|---|
| 身分編號：<br>ID No.:  |
| 證券商開立帳號為：<br>Securities firm account opening no.:   |
| 證券商開戶身分碼：<br>Securities firm account opening identity code:   |
| 投資人帳戶名稱：<br>Investor's account name:  |
| 投資人英文名稱：<br>Investor's English name:  |
| 保管銀行：<br>Custodian bank:  |
| 代理人：<br>Agent:  |
| 已完成登錄同一證券經紀商開設二個以上交易帳戶資料<br>Information on Two or More Already Registered Trading Accounts Opened at the Same Securities Brokerage Firm   |
| 1. 交易帳戶戶名一<br>1. Trading account 1  |
| 符合之規定條件<br>Conditions for compliance with regulations:<br><input type="checkbox"/> 投資策略委請外部經理人操作<br><input type="checkbox"/> The investment strategy calls for operation by an external manager.<br><input type="checkbox"/> 內部投資作業使用不同交易平台<br><input type="checkbox"/> Internal investment operations use different transaction platforms<br><input type="checkbox"/> 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求<br><input type="checkbox"/> It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts.<br><input type="checkbox"/> 其他經主管機關核定者<br><input type="checkbox"/> <input type="checkbox"/> Other conditions approved by the competent authority. |
| 中文名稱：<br>英文戶名：<br>Chinese name:<br>English name:  |

完成註銷登記日期：

Date cancellation registration completed:

2.交易帳戶戶名二

2.Trading account 2

符合之規定條件

Conditions for compliance with regulations:

- 投資策略委請外部經理人操作
- The investment strategy calls for operation by an external manager.
- 內部投資作業使用不同交易平台
- Internal investment operations use different transaction platforms
- 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求
- It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts.
- 其他經主管機關核定者
- Other conditions approved by the competent authority.

中文名稱：

英文戶名：

Chinese name:

English name:

完成註銷登記日期：

Date cancellation registration completed:

3.交易帳戶戶名三

3.Trading account 3

符合之規定條件

Conditions for compliance with regulations:

- 投資策略委請外部經理人操作
- The investment strategy calls for operation by an external manager.
- 內部投資作業使用不同交易平台
- Internal investment operations use different transaction platforms
- 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求
- It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts.
- 其他經主管機關核定者
- Other conditions approved by the competent authority.

中文名稱：

英文戶名：

Chinese name:

English name:

完成註銷登記日期：

Date cancellation registration completed:

4.交易帳戶戶名四

4.Trading account 4

符合之規定條件

Conditions for compliance with regulations:

- 投資策略委請外部經理人操作
- The investment strategy calls for operation by an external manager.
- 內部投資作業使用不同交易平台
- Internal investment operations use different transaction platforms
- 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求
- It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts.
- 其他經主管機關核定者
- Other conditions approved by the competent authority.

中文名稱：

英文戶名：

Chinese name:

English name:

完成註銷登記日期：

Date cancellation registration completed: