

Attachment

Sample Statement on

"Stewardship Principles for Institutional Investors"

※ Note:

This sample is for reference only. A signatory is advised to disclose and update its statement according to actual circumstances and its business model. Institutional investors shall complete a brief description of status of their compliance with each stewardship principle within six months starting from the endorsement date and notify the Corporate Governance Center. Foreign institutional investors who are signatories of other national or international codes/principles with similar objectives can refer back to their home country reporting or statements to demonstrate the status of compliance with each Stewardship Principle.

The ○○'s (name of institution) main business is _____ and is an asset owner / asset manager / or other (e.g. service provider or industrial association). ○○ hereby represents to comply with "Stewardship Principles for Institutional Investors". Compliance with the six principles is detailed below (or will be provided within six months starting from the endorsement date):

Principle 1 Establish and disclose stewardship policies

The operational objective of ○○ is to achieve maximum benefits for the clients / beneficiaries / shareholders through conducting the businesses of _____ (business). To achieve such an objective, the stewardship policies set forth by ○○ include but not limited to its responsibilities to clients / beneficiaries / shareholders and disclosure of fulfillment of stewardship duties. Please visit (website) for details of stewardship policies.

Principle 2 Establish and disclose policies on managing conflicts of interest

To ensure that ○○ executes its businesses in the interest of its clients or beneficiaries, ○○ establishes policies on managing conflicts of interest, including types of conflicts of interest and management approach. Please visit (website) for details of policy content on managing conflicts of interest and handling of material conflicts of interest.

Principle 3 Regularly monitor investee companies

To ensure that sufficient and valid information can be obtained by ○○ for assessing the nature, timeline and degree of dialogue and interaction with investee companies and to form a sound basis for investment decisions of ○○, ○○ focuses on issues regarding the investee companies, such as related news, financial performance, industry profile, operational strategy, activities for environmental protection, social responsibilities and corporate governance.

Principle 4 Maintain an appropriate dialogue and interaction with investee companies

Through appropriate dialogue and interaction with investee companies, ○○ will further understand and communicate with management, including board of directors or executives, about risks faced by industry and strategies adopted, and strive to reach consensus with the investee companies on creating long-term values. ○○ communicates annually with management of investee companies through conference calls, face-to-face meetings, participation in institutional investors' roadshows or attendance at shareholders' meetings or significant extraordinary general meetings. If it is likely that an investee company may violate principles of corporate governance on specific issues or damage long-term values of clients / beneficiaries / shareholders of ○○, ○○ will, when considered necessary, inquire with management of the investee company about compliance handling and does not give up its rights to act collectively with other investors to protect their interests.

Principle 5 Establish clear voting policies and disclose voting results

In order to achieve maximum benefits for clients / beneficiaries / shareholders, ○○ has established a clear voting policy and is a proactive voter at shareholders' meetings. The Company does not necessarily support proposals made by management. Please

refer to _____ (website) for (aggregated) details of voting activities.

Principle 6 Periodically disclose to clients or beneficiaries about status of fulfilment of stewardship responsibilities

The stewardship activities are disclosed by ○○ on its website (or concurrently in its annual report / business report) on a regular basis, including this statement, explanation on non-compliance with stewardship principles, attendance at shareholders' meetings of investee companies, voting activities, and other material matters.

Signatory ○○ (name of institution)

Month/Date/Year