

**Securities Investment Trust/Consulting Enterprise
Application to the Taiwan Stock Exchange Corporation for
Inquiring about Securities Account Opening and Trading
Data for Employees of Securities Investment Trust
Enterprises and Their Spouses and Minor Children**

To: Taiwan Stock Exchange Corporation

The trustee, _____ Securities Investment Trust/Consulting Co., Ltd., , authorizes _____ to apply for and on behalf of the client _____ and others (totaling _____ individuals, as shown in the attached list), for inquiry about the personal information about their account opening, brokerage trading or trading data at the centralized stock exchange market.

Date:

Applicant, i.e. Client		(See the attached list for details)
Trustee	(Please sign or seal)	(Company seal and representative's personal seal)
Uniform Business No.		
TEL		
Email for Receiving		

Invoice		
Institution Address	City/County Village (Town, Administrative City, District) Cun/Li Lin Road/Street Section Lane Alley No. - F	
Authorized Representative	(Please sign or seal)	Attach a photocopy of the front of the authorized representative's identification (R.O.C. passport with Overseas Chinese Identity Endorsement, Overseas Chinese Identity Certificate, Alien Resident Certificate, foreign passport, Mainland Chinese Entry and Exit Permit, or identification issued by an overseas mission) here.
National I.D. No.		
TEL		
Authorized Representative's Address:	City/County Village (Town, Administrative City, District) Cun/Li Lin Road/Street Section Lane Alley No. - F	

Notes:

1. The company seal and representative's personal seal must be affixed on the attached list.
2. After verification of an original, please affix the "this is a true copy of the original" stamp to the photocopy.
3. Where the Client has changed his/her last name, first name, or whole name, a photocopy of the household registry recording the change or of the certificate of name change record shall be submitted.
4. The invoice for payment of fee for in-person inquiries will be sent by email.

XX Securities Investment Trust/Consulting Co., Ltd.

List of Clients Requesting the Service of Inquiry about Securities Account Opening and Trading Data

Serial No.	Name	National I.D. No. (Foreign Investor Code No. or Exit & Entry Permit No.)	Address	Details of Securities Account	Trading Records	History of Brokerage Trading	Note
				√	From [] to []	From [] to []	
					From [] to []	From [] to []	
					From [] to []	From [] to []	

Note: The original company seal and representative's personal seal must be affixed to this list.