

Application to Taiwan Stock Exchange Corporation for Inquiring about Securities Account Opening and Trading Data for Employees of Companies/Firms and Their Spouses and Minor Children

To: Taiwan Stock Exchange Corporation

The trustee, _____ [name of company/firm], authorizes _____ to apply for and on behalf of the client _____ and others (totaling _____ individuals, as shown in the attached list), for inquiring about the personal information about their account opening, brokerage trading or trading data at the centralized stock exchange market.

Date:

| | | | |
|----------------------------------|--|--|-----------------------|
| Applicant, | i.e. Client (see the attached list for details) | | |
| Trustee | <div style="border: 1px solid black; width: 200px; height: 150px; margin: 0 auto;"></div> <div style="border: 1px solid black; width: 100px; height: 80px; margin: 0 auto;"></div> <p>(Company's/Firm's seal and representative's personal seal)</p> | | |
| Uniform Business No. | | | |
| TEL | | | |
| Email for Receiving Invoice | | | |
| Company/Firm Address | | | |
| Authorized Representative | Attach a photocopy of the front of the authorized representative's identification (identity card or R.O.C. passport with Overseas Chinese Identity Endorsement, Overseas Chinese Identity Certificate, Alien Resident | | |
| National ID No. | | | (Please sign or seal) |

| | | |
|-------------------------------------|---|---|
| TEL | | Certificate, foreign passport, Mainland Chinese Entry and Exit Permit, or identification issued by an overseas mission) here. |
| Authorized Representative's Address | <div>City/County Village</div> <div>(Town, Administrative City, District) Cun/Li Lin</div> <div>Road/Street Section Lane</div> <div>Alley No. - F</div> | |

Notes:

1. The company’s/firm’s seal and representative’s personal seal must be affixed on the attached list.
2. After verification of an original, please affix the “this is a true copy of the original” stamp to the photocopy.
3. Where the Client has changed his/her last name, first name, or whole name, a photocopy of the household registry recording the change or of the certificate of name change record shall be submitted.
4. Provide the supporting documents required under the internal control system, self-regulatory rules or code of professional ethics.
5. The invoice for payment of fee for in-person inquiries will be sent by email.

(Company/Firm Name)

List of Clients Requesting the Service of Inquiry about Securities Account Opening and Trading Data

| Serial No. | Name | National I.D. No. (Foreign Investor Code No. or Exit & Entry Permit No.) | Address | Details of Securities Account | Trading Records | History of Brokerage Trading | Note |
|------------|------|--|---------|-------------------------------------|-----------------------------|------------------------------|------|
| | | | | √ | From [] to [] | From [] to [] | |
| | | | | | From [] to [] | From [] to [] | |
| | | | | | From [] to [] | From [] to [] | |

Note: The company's/firm's seal and representative's personal seal must be affixed to this list.