

表 6-1

同一證券經紀商開設二個以上交易帳戶申請登記表

Form 6-1

Registration Form for Opening Two or More Trading Accounts in the Same

Securities Brokerage Firm

申請人登記之基本資料 (1-3 項資料系統自動給出) Applicant's basic registration information (items under 1-3 provided automatically by the system)	
1. 身分編號: 1. ID No.:	
2. 投資人 2. Investor	
中文名稱: Chinese name:	英文名稱: English name:
3. 代理(表)人及保管銀行 3. Agent (or representative) and custodian bank	
代理(表)人: (公司章) Agent (or representative): (Company seal)	保管銀行: Custodian bank:
同一證券經紀商開設二個以上交易帳戶 (前已登錄資料系統自動給出) Two or more accounts opened at the same securities brokerage firm (previously registered information provided automatically by the system)	
1. 交易帳戶一 1. Trading account 1:	
符合規定條件: Conditions for compliance with regulations:	
<input type="checkbox"/> 投資策略委請外部經理人操作 <input type="checkbox"/> The investment strategy calls for operation by an external manager.	
<input type="checkbox"/> 內部投資作業使用不同交易平台 <input type="checkbox"/> Internal investment operations use different transaction platforms	
<input type="checkbox"/> 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求 <input type="checkbox"/> It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts.	
<input type="checkbox"/> 其他經主管機關核定者 <input type="checkbox"/> Other conditions approved by the competent authority.	
中文名稱: Chinese name:	英文名稱: English name:

## 2.交易帳戶二

### 2. Trading account 2

符合之規定條件：

Conditions for compliance with regulations:

- 投資策略委請外部經理人操作
- The investment strategy calls for operation by an external manager.
- 內部投資作業使用不同交易平台
- Internal investment operations use different transaction platforms
- 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求
- It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts.
- 其他經主管機關核定者
- Other conditions approved by the competent authority.

中文名稱：

Chinese name:

英文名稱：

English name:

## 3.交易帳戶三

### 3. Trading account 3

符合之規定條件：

Conditions for compliance with regulations:

- 投資策略委請外部經理人操作
- The investment strategy calls for operation by an external manager.
- 內部投資作業使用不同交易平台
- Internal investment operations use different transaction platforms
- 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求
- It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts.
- 其他經主管機關核定者
- Other conditions approved by the competent authority.

中文名稱：

Chinese name:

英文名稱：

English name:

## 4.交易帳戶四

### 4. Trading account 4

符合之規定條件：

Conditions for compliance with regulations:

- 投資策略委請外部經理人操作
- The investment strategy calls for operation by an external manager.
- 內部投資作業使用不同交易平台
- Internal investment operations use different transaction platforms
- 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求

- |   |
|---|
| <input type="checkbox"/> It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts.<br><input type="checkbox"/> 其他經主管機關核定者<br><input type="checkbox"/> Other conditions approved by the competent authority. |
|---|

中文名稱：

Chinese name:

英文名稱：

English name:

(上表中有關同一證券經紀商開設二個以上交易帳戶，可依境外外國機構投資人之需求，增加交易帳戶資料之輸入)

(In the part of the above form regarding two or more accounts opened at the same securities brokerage firm, information on additional trading accounts may be entered as required by the offshore foreign institutional investor.)