

表 6-2

同一證券經紀商開設二個以上交易帳戶完成登記證明

Form 6-2

Certificate of Completed Registration for Opening Two or More Trading Accounts in the Same Securities Brokerage Firm

身分編號： ID No.:
證券商開立帳號為： Securities firm account opening no.: 九九開頭加四位流水號 (九九七及九九八除外) 及一碼檢查碼 Initial "99" code followed by 4-digit flow number (excluding 997 and 998) and 1-digit check code 九九七開頭加三位流水號及一碼檢查碼 Initial "997" code followed by 3-digit flow number and 1-digit check code
證券商開戶身分碼： Securities firm account opening identity code:
投資人帳戶名稱： Investor's account name:
投資人英文名稱： Investor's English name:
保管銀行： Custodian bank:
代理人： Agent:
已完成登錄同一證券經紀商開設二個以上交易帳戶資料 Information on two or more accounts already registered at the same securities brokerage firm
1. 交易帳戶戶名一 1. Trading account 1
符合之規定條件： Conditions for compliance with regulations: <ul style="list-style-type: none"> <input type="checkbox"/> 投資策略委請外部經理人操作 <input type="checkbox"/> The investment strategy calls for operation by an external manager. <input type="checkbox"/> 內部投資作業使用不同交易平台 <input type="checkbox"/> Internal investment operations use different transaction platforms <input type="checkbox"/> 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求 <input type="checkbox"/> It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts. <input type="checkbox"/> 其他經主管機關核定者 <input type="checkbox"/> Other conditions approved by the competent authority.

帳戶戶名： 英文戶名： Account name: English account name:
已完成登記日期： Date registration completed:
2.交易帳戶戶名二 2.Trading account 2
符合之規定條件： Conditions for compliance with regulations: <ul style="list-style-type: none"> <input type="checkbox"/> 投資策略委請外部經理人操作 <input type="checkbox"/> The investment strategy calls for operation by an external manager. <input type="checkbox"/> 內部投資作業使用不同交易平台 <input type="checkbox"/> Internal investment operations use different transaction platforms <input type="checkbox"/> 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求 <input type="checkbox"/> It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts. <input type="checkbox"/> 其他經主管機關核定者 <input type="checkbox"/> Other conditions approved by the competent authority.
帳戶戶名： 英文戶名： Account name: English account name:
已完成登記日期： Date registration completed
3.交易帳戶戶名三 3. Trading account 3
符合之規定條件： Conditions for compliance with regulations: <ul style="list-style-type: none"> <input type="checkbox"/> 投資策略委請外部經理人操作 <input type="checkbox"/> The investment strategy calls for operation by an external manager. <input type="checkbox"/> 內部投資作業使用不同交易平台 <input type="checkbox"/> Internal investment operations use different transaction platforms <input type="checkbox"/> 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求 <input type="checkbox"/> It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts. <input type="checkbox"/> 其他經主管機關核定者 <input type="checkbox"/> Other conditions approved by the competent authority.

帳戶戶名： 英文戶名： Account name: English account name:
已完成登記日期： Date registration completed:
4.交易帳戶戶名四 4. Trading account 4
符合之規定條件： Conditions for compliance with regulations: <input type="checkbox"/> 投資策略委請外部經理人操作 <input type="checkbox"/> The investment strategy calls for operation by an external manager. <input type="checkbox"/> 內部投資作業使用不同交易平台 <input type="checkbox"/> Internal investment operations use different transaction platforms <input type="checkbox"/> 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求 <input type="checkbox"/> It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts. <input type="checkbox"/> 其他經主管機關核定者 <input type="checkbox"/> Other conditions approved by the competent authority.
帳戶戶名： 英文戶名： Account name: English account name:
已完成登記日期： Date registration completed:

註：請依完成登記證明之帳戶名稱辦理開戶。

(上表中有關已完成登錄同一證券經紀商開設二個以上交易帳戶，可依境外外國機構投資人之需求，增加交易帳戶戶名資料)

Note: Please carry out account opening using the name in the Certificate of Completed Registration.

(In the part of the above form regarding completed registration of two or more accounts opened at the same securities brokerage firm, information on additional trading accounts may be entered as required by the offshore foreign institutional investor.)