

表 6-5

同一證券經紀商開設二個以上交易帳戶註銷申請登記表

From 6-5

**Application Form for Registration of Account Cancellation Where Two or More Trading Accounts Have Been Opened in the Same Securities Brokerage Firm**

申請人登記之基本資料 (1-3 項資料系統自動給出) Applicant's basic registration information ((items under 1-3 provided automatically by the system)	
1. 身分編號: 1. ID No.:	
2. 投資人 2. Investor	
中文名稱: Chinese name:	英文名稱: English name:
3. 代理(表)人及保管銀行 3. Agent (or representative) and custodian bank	
代理(表)人: (公司章) Agent (or representative): (company seal)	保管銀行: Custodian bank:
同一證券經紀商開設二個以上交易帳戶 (前已登錄資料系統自動給出, 請點選欲註銷帳戶) Two or more accounts opened at the same securities brokerage firm (previously registered information provided automatically by the system; please select the account name you wish to cancel)	
1. 交易帳戶一 1. Trading account 1	
符合之規定條件: Conditions for compliance with regulations:	
<input type="checkbox"/> 投資策略委請外部經理人操作 <input type="checkbox"/> The investment strategy calls for operation by an external manager.	
<input type="checkbox"/> 內部投資作業使用不同交易平台 <input type="checkbox"/> Internal investment operations use different transaction platforms	
<input type="checkbox"/> 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求	

<input type="checkbox"/> It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts. <input type="checkbox"/> 其他經主管機關核定者 <input type="checkbox"/> Other conditions approved by the competent authority.
中文名稱： 英文名稱： Chinese name: English name:
2.交易帳戶二 2.Trading account 2
符合之規定條件： Conditions for compliance with regulations: <input type="checkbox"/> 投資策略委請外部經理人操作 <input type="checkbox"/> The investment strategy calls for operation by an external manager. <input type="checkbox"/> 內部投資作業使用不同交易平台 <input type="checkbox"/> Internal investment operations use different transaction platforms <input type="checkbox"/> 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求 <input type="checkbox"/> It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts. <input type="checkbox"/> 其他經主管機關核定者 <input type="checkbox"/> Other conditions approved by the competent authority.
中文名稱： 英文名稱： Chinese name: English name:
3.交易帳戶三 3.Trading account 3
符合之規定條件： Conditions for compliance with regulations: <input type="checkbox"/> 投資策略委請外部經理人操作 <input type="checkbox"/> The investment strategy calls for operation by an external manager. <input type="checkbox"/> 內部投資作業使用不同交易平台 <input type="checkbox"/> Internal investment operations use different transaction platforms <input type="checkbox"/> 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求 <input type="checkbox"/> It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts. <input type="checkbox"/> 其他經主管機關核定者 <input type="checkbox"/> Other conditions approved by the competent authority.
中文名稱： 英文名稱：

Chinese name:

English name:

4.交易帳戶四

4.Trading account 4

符合之規定條件：

Conditions for compliance with regulations:

- 投資策略委請外部經理人操作
- The investment strategy calls for operation by an external manager.
- 內部投資作業使用不同交易平台
- Internal investment operations use different transaction platforms
- 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求
- It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts.
- 其他經主管機關核定者
- Other conditions approved by the competent authority.

中文名稱：

英文名稱：

Chinese name:

English name: