

Questionnaire of Self-Evaluation of Performance of the [YEAR] Functional Committee

What is being evaluated	Evaluation results					Note
A. Participation in the company's operation						
1. On average, an individual committee member's attendance (not including attendance by proxy) at the functional committee meeting is good (e.g. a rate of 80% is 3 or medium).	1	2	3	4	5	
2. Committee members have read and understood meeting materials before a meeting.	1	2	3	4	5	
3. All committee members have made valid contributions at the functional committee.	1	2	3	4	5	
4. Each functional committee is regularly convened for meetings.	1	2	3	4	5	
B. Understanding of duties of functional committee						
5. Functional committee have properly defined and assigned each and every duty.	1	2	3	4	5	
6. Functional committee is capable of truthfully evaluate and supervise existing or potential risks of various nature in the company.	1	2	3	4	5	
7. Functional committee submits suggestions based on professionalism and impartiality at the right time to the board of directors for discussion and as reference by the board when making a decision.	1	2	3	4	5	
8 The audit committee and CPAs have had full communications and exchanges (they need to meet to discuss issues relating to implementation of a new accounting standard or major changes to financial statement.)	1	2	3	4	5	

9. The audit committee regularly evaluates the independence and adequacy of appointed CPAs.	1	2	3	4	5	
10. The remuneration committee has established, and perform regular reviews on, policies, systems, standards and structure of performance evaluations and remunerations for directors, supervisors and managers. (Decisions on the remunerations for directors, supervisors and managers should not deviate from the financial performance.)	1	2	3	4	5	
11. The remuneration committee has regular reviews on the performance evaluation standards for directors of the company, and has submitted the review results to the board of directors for approval, and determines the remunerations for directors based on the results of performance evaluation.	1	2	3	4	5	
12. The nomination committee has established standards for professional knowledge, expertise, experience, gender and other diversity issues and independence for members of the board, supervisors and senior managers, and looks for and nominates candidates of directors, supervisors and senior managers based on these standards.	1	2	3	4	5	Amended to be in line with Article 28-2 of the Corporate Governance Best Practice Principles for TWSE/TPEX Listed Companies, and Article 5 of the Sample Template of XXX Co., Ltd. Charter of the Nominating Committee
C. Improvement on quality of decision-making at functional committee						
13. The company provides the functional committee with complete and timely information, with a certain quality level, to enable the functional committee to successfully perform their duties. Where necessary, related managers, internal auditors, CPAs, legal counsels, or other staff may be asked to attend a meeting as observer.	1	2	3	4	5	
14. Sufficient time is allocated for discussion at a meeting of the functional committee.	1	2	3	4	5	
15. The proposals for discussion the company sends to the functional committee for resolution are appropriate.	1	2	3	4	5	

16. When a proposal requires recusal of a committee member to avoid conflict of interest, that member has recused him/herself, which is noted in the meeting minutes. (A member of the audit committee or the remuneration committee should truthfully provide material information about his/her interest in a proposal in which he/she has an interest. If there is a likelihood the company's interest may be damaged, the member should recuse him/herself from discussion and voting, and should not act as a proxy to vote on behalf of another member.)	1	2	3	4	5	Amended to be in line with Articles 9 and 10 of the Regulations Governing the Exercise of Powers by Audit Committees of Public Companies, and Article 9-1 of the Regulations Governing the Appointment and Exercise of Powers by the Remuneration Committee
17. Meeting minutes of the functional committee properly reflect what has been discussed at the meeting, and the qualified opinions or concerns expressed by individual or multiple members.	1	2	3	4	5	
18. Resolutions of the meetings of functional committee have been properly followed up on their implementation.	1	2	3	4	5	
19. All the functional committees are regularly and effectively conducting performance evaluations.	1	2	3	4	5	
D. Makeup of functional committee and election/appointment of members						
20. Makeup of functional committee is appropriate and the functional committee has the expertise necessary for decision making.	1	2	3	4	5	
21. Members of function committee have diligently maintain their independence during their tenure.	1	2	3	4	5	Article 6 of the Regulations Governing the Appointment and Exercise of Powers by the Remuneration Committee
22. A proposal to elect/appoint members of functional committee has, based on the company's needs, fully taken into consideration the various skills, knowledge and experience of members of the board, as well as the results of performance evaluation of functional committee.	1	2	3	4	5	
23. The nomination committee has established and conducts regular reviews on the advanced learning program for directors, and the succession plan for	1	2	3	4	5	Article 5 of the Sample Template of XXX Co., Ltd. Charter of the Nominating

directors and senior managers.						Committee
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E. Internal control

24. The audit committee is capable of effectively review and supervisor the effectiveness of various internal control systems and risk management.	1	2	3	4	5	
25. The internal control systems approved by the audit committee includes the five major elements/principles, and covers the control operation for all operational activities and transaction cycles.	1	2	3	4	5	
26. The audit committee maintains understanding of and performs supervision on the company's accounting system, financial condition and financial reports, audit reports and their follow-up.	1	2	3	4	5	

F. Others (Determine what to be included, if any, on your own)

Other additional information (e.g. suggestions on how to improve operation of the functional committee, etc.)	
General comments	

Note 1: The form provides a general guideline for company's reference. It is advised to make changes to the above information about what to be evaluated based on the company's needs and conditions. Further, the criteria for evaluation under this questionnaire may be based on the requirements on corporation governance evaluation guidelines.

Note 2: If the evaluation results of an individual item to be evaluated are not a full indication of what is being evaluated, add more information in the note column.

Note 3: The period of evaluation is from [Date] to [Date].

Note 4: Evaluation shall be completed before the first quarter of the year after the year for which evaluation is being performed.

Note 5: Evaluation results include 5 grades. The company may revise the design of evaluation results as necessary. Below is the description of the principle of the evaluation grades:

1: Very poor (Extremely disagree); 2: Poor (Disagree); 3. Medium (Regular); 4: Good (Agree); 5: Excellent (Extremely agree).