Application for Taiwan Stock Exchange Corporation Decedents' Account or Transaction Information at the Centralized Securities Exchange Market

Applicant Name	（Please sign or stamp）	I.D. Card No.	
Applicant Address	City/County Village (Town, Administrative City, District) Cun/Li Lin Road/Street Section Lane Alley No. - F		
Email		Telephone	
Decedent Name		I.D. Card No. (Foreign Investor Code No. or Exit & Entry Permit No.)	
Requested Information	□ Details of the securities account opened with each securities broker (account opening data)		
	Securities exchange data □Trade transaction records (Date to date) □Trade order records (Date to date)	Instructions ※Free of charge for application forms and photocopying identification documents and supporting documents in response to an information request ※Where the applicant submits its request at the TWSE in person or through a third person or submits a request to receive information from a securities broker by mail: 1. For a request of only account opening data, TWD 300 processing fee and computer processing fee per person. 2. For a request of only transaction data or for account opening data together with transaction data, TWD 500 processing fee and computer processing fee per person. 3. Free of charge for a request for a decedent's account opening or transaction data if the applicant is at least 65 years of age. ※For a data request at the TWSE or a request to receive information by mail, please pay to the following account: Cathay United Bank Guanqian Branch (013) Account Holder: Taiwan Stock Exchange Corporation Account No.: 001030912922 Invoices will be sent by e-mail.	

Supporting Documents	1. An administrator (to be elected by the family council or appointed by the court) or executor (to be appointed by the testator or by a person engaged by the testator, or elected by the family council or appointed by the court) shall submit:
	  (1) Original Household Certificate or original Household Registration Cancellation document etc. with a note proving the death of the decedent.
	  (2) Administrator: Original written evidence of election by the family council or appointment by the court.
	  (3) Executor: Original written evidence of appointment by the testator or a person engaged by the testator, or election by the family council or appointment by the court.
	2. The heir shall submit:
	  (1) Original Household Certificate or original Household Registration Cancellation document with a note proving the death of the decedent etc.
	  (2) The heir's current original Household Certificate with detailed narrative.
	  (3) Inheritance tree diagram.
	  (4) The heir's original identification.
	3. If the applicant is an adult, it shall prepare the following supporting documents in addition to submitting the above documents:
	  (1) If the applicant submits the request at the TWSE: an original of its I.D. card.
	  (2) If the applicant engages another to submit the request at the TWSE on its behalf: an original of the fiduciary's own and the principal's original I.D. card and the power of attorney.
	  (3) If the applicant submits the request in person at the securities broker to receive information by mail: a photocopy of the applicant's I.D. card (confirmed authentic upon verification by the securities broker) and certificate.
	4. If the applicant is a minor, a person declared by a court to be under guardianship, a person subject to a court order for the commencement of assistantship, or an overseas Chinese, foreigner or Mainland individual, the documents for verification to accompany the three types of request, namely a request submitted in person at the TWSE, a request through another person at the TWSE, and a request submitted in person at the securities broker to receive information by mail, are respectively governed by Article 3, paragraphs 1 and 2, subparagraphs 2, 3 and 4 and paragraph 3, subparagraph 4, items 2, 3 and 4, etc. of the Taiwan Stock Exchange Corporation Procedures for Investors to Request Account or Transaction Information at the Centralized Securities Exchange Market.

Date of Application	Date
Paste a photocopy of the front of the applicant's I.D. card or R.O.C. passport with Overseas Chinese Identity Endorsement, Overseas Chinese Identity Certificate, Alien Resident Certificate, foreign passport, Mainland Chinese Entry and Exit Permit, or identification issued by an overseas mission, etc. here. (To submit a Household Certificate with notes made etc., please attach a photocopy to this application)	

Note: After verification of an original, please affix the "this is a true copy of the original" stamp to the photocopy.