

## Statement of Collection, Processing and Utilization of Personal Information

The Taiwan Stock Exchange Corporation (the TWSE) is required to collect, process, and utilize your personal information for the purpose of “processing the applicant’s inquiry, review and request for duplication of personal information.” Please read the following information carefully to protect your rights.

1. Purpose of Collection: 166 “Securities, futures, securities investment trusts, and consultants related business.” We collect personal data to handle procedures pertaining to, and to respond to, your exercise of personal data rights such as the right to make an inquiry, the right to review, the right to request a copy, etc.
2. Type of Personal Information:
  - (1) C001 Type for identifying individuals: Name, address, telephone number, foreign investor code number, email address, or audio- and video-recording files for in-person request
  - (2) C003 Type for identifying in government data: I.D. card number, Alien Residence Certificate number, passport number, or Mainland Chinese Entry and Exit Permit number
  - (3) C011 Personal description: Gender, date of birth, or nationality
  - (4) C021 Family: Name of spouse
  - (5) C023 Details of other family members: Names of minor children
  - (6) C033 Immigration: R.O.C. passport with Overseas Chinese Identity Endorsement, Overseas Chinese Identity Certificate, or identification issued by an overseas mission
  - (7) C034 Travel and other migration: Alien Resident Certificate, foreign passport, or Mainland Chinese Entry and Exit Permit
  - (8) C041 Courts, the Public Prosecutor's Office or other trial-related authorities and procedures: Court civil rulings, civil ruling confirmations, etc.
3. The time period, territory, user, and method of utilization of personal information:
  - (1) Time period: personal information will be deleted after 3 years from the date of the TWSE’s response to your application.
  - (2) Territory: within the territory of the Republic of China and international transmissions permitted by the law.
  - (3) User: the personal information will be used by the TWSE or be provided to the industry competent authority of the TWSE or other third parties in accordance with the law.
  - (4) Method of utilization: the personal information will be collected, processed, and utilized through digital files or hardcopies.
4. Rights of the personal information owner and ways to exercise the rights: You may exercise your rights per Article 3 of the Personal Information Protection Act in person at the Corporate Communications Department of the TWSE (Tel: 02-8101-3101 transfer to Corporate Communications Department) with your National ID Card, including:
  - (1) inquiry and review of personal information,
  - (2) request for duplicate copies,
  - (3) request for supplementation or correction of personal information,
  - (4) request to cease collection, processing or utilization of personal information,
  - (5) request for deletion of personal information,

However, erasure may not be conducted if the collection, processing or use is necessary for the performance of a business duty, the specific purpose no longer exists, or the retention period has not expired. If necessary, you may call our investor service at 02-27928188.

5. Consequence of personal information owner refusing the provision of personal information: The TWSE may not be able to provide the requested service in full if the information required in the application forms is not provided.
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Within the business scope conforming to the above purposes for personal information collection, I hereby agree for the TWSE to collect, process, and utilize my personal information. After being notified of the above information, I fully acknowledge that my personal information collected, processed, and utilized by the TWSE is correct.

Applicant:	(Signature or affix seal)
Legal Representative or Guardian:	(Signature or affix seal)
Legal Representative:	(Signature or affix seal)
Authorized Agent:	(Signature or affix seal)

Date: Year \_\_\_\_\_ Month \_\_\_\_\_ Date \_\_\_\_\_

Note:

1. If the applicant is a minor under 7 years old or is subject to guardianship, the legal representative or guardian is required to enter the name of the minor or person subject to guardianship in the "Name of Applicant" column. (Articles 13 and 15 of the Civil Code)
2. If the applicant is a minor above 7 years old, the applicant should sign his/her name or affix his/her seal in the "Name of Applicant" column. (Article 13 of the Civil Code)