

**Written statement**  
( For application by adult by mail)

|   |  |   |                |
|---|--|---|----------------|
| To:<br>Taiwan Stock Exchange Corporation  |  |   |                |
| The undersigned, _____, is unable to request in person for information in relation to matters stated in the application. The _____ Branch of the _____ (name of securities corporation) has verified and confirmed the application has been made by the undersigned.  |  |   |                |
| Date: ( ROC ) Year _____ Month _____ Date _____   |  |   |                |
| Name of Applicant   | ( Sign or Affix Seal )                                     | National ID Number<br>(Foreign Investment Number) |                |
| Address   |  |   |                |
| Telephone Number  |  |   |                |
| Name of Authorized Securities Corporation   | _____ Branch of the _____ (name of securities corporation) |   | (Company Chop) |
| Telephone Number: _____   |  |   |                |
| <b>《 Statement of collection, processing and utilization of personal information 》</b>  |  |   |                |
| Taiwan Stock Exchange Corporation (the TWSE) requires your assistance in verifying the applicant's qualification and is required to collect, process, and utilize your personal information for the purpose of processing the applicant's application to your company in relation to requests for inquiry, review, and duplication of personal information to the TWSE. Please read the following information carefully to protect your rights:   |  |   |                |
| <ol style="list-style-type: none"> <li>1. Purpose of Collection: to process the applicant's application to your company in relation to requests for inquiry, review, and request for duplication of personal information to the TWSE.</li> <li>2. Type of Personal Information: such as name and title of supervisor and name, title, and telephone number of case manager.</li> <li>3. The time period, territory, user, and method of utilization of personal information:               <ol style="list-style-type: none"> <li>(1) Time period: personal information will be deleted after 3 years from the date of the TWSE's response to your application.</li> <li>(2) Territory: within the territory of the Republic of China and international transmissions permitted by the law.</li> <li>(3) User: the personal information will be used by the TWSE or be provided to the industry competent authority of the TWSE or other third parties in accordance with the law.</li> <li>(4) Method of utilization: the personal information will be collected, processed, and utilized through digital files or hard copies.</li> </ol> </li> <li>4. Rights of the personal information owner and ways to exercise the rights: You may exercise the following rights in relation to the personal information you provide to the TWSE: inquiry and review of personal information, request for duplicate copies, request for supplementation or correction or deletion of personal information, request to cease collection, processing or utilization of personal information. However, in situations where it is required for the TWSE to keep the personal information for the purposes of implementation of certain businesses, for specific purposes of keeping personal information, or where there is a required time period for the TWSE to keep such personal information and the said time has not yet expired, the TWSE may continue to keep such personal information.</li> <li>5. Consequence of personal information owner refusing the provision of personal information: The TWSE may not be able to provide the requested service in full if the information required in the application forms are not provided.</li> </ol> |  |   |                |
| Within the business scope conforming to the above purposes for personal information collection, I hereby agree for the TWSE to collect, process, and utilize my personal information. After being notified of the above information, I fully acknowledge that my personal information collected, processed, and utilized by the TWSE is correct.  |  |   |                |
| Supervisor :  |  | Case Manager :                                    | ( Signature )  |
| <b>***** The supervisor and case manager above shall be qualified salespersons registered with Taiwan Stock Exchange Corporation. *****</b>   |  |   |                |