

Appeal  
(for Underwriters and Certified Public Accountants)

Appeal against:  Disposition on securities underwriter deficiency

Disposition on CPA audit deficiency

To: Taiwan Stock Exchange Corporation

Abstract: This appeal is filed in accordance with

Article 10-1 of the Regulations for Handling Deficiencies in Evaluation Reports or Other Related Information Submitted by a Securities Underwriter

Article 3-1 of the Regulations Governing the Handling of Errors by Certified Public Accountants in Auditing of Initial Applications for Market Listing

against the TWSE disposition, accompanied by the reason for appeal and relevant evidentiary data . Your attention is appreciated.

Appellant:	
TWSE disposition letter no.:	
TWSE disposition:	
Appeal:  Sample: 1. A request is made for the revocation of the following disposition against OOO: <u>(penalty points given, announcement of deficiency, refusal of attestation ...)</u> .	
Reason for appeal:	
Attachments	Relevant evidentiary documents
Appellant: OOO	
Legal Representative:	(signature/stamp)
Address:	
Contact:	Telephone:
Date of appeal:	