

## Appendix

### Identification and Management of Credit Line Categorization

Type of Account	Identification Procedure	Agreed Strength	Single-Day Trading Limit
Type 1	<ol style="list-style-type: none"><li>1. Verification by the settlement bank + OTP or telephone call.</li><li>2. Citizen digital certificate, bank account information. or wafer debit card etc. + OTP or telephone call.</li><li>3. A photograph from which both the national I.D. card and face are identifiable + information on the designated debit account of the customer's ledgers of the securities firm's settlement account + OTP or telephone call.</li><li>4. Verification by video imaging + OTP or telephone call.</li><li>5. <u>Verification by mobile ID + OTP or telephone call.</u></li><li>6. Other means by</li></ol>	Agreed single-day trading limit	TWD 1 million

	which the principal can be verified + OTP or telephone call.		
Type 2	Citizen digital certificate + Video imaging.	Provision of financial proof, such as the withholding statement on individual annual income etc.	The estimated single-day trading limit is determined by the securities firm in accordance with the Self-Regulatory Rules on Credit Checking and Credit Line Management.
Type 3	Same as type 1.	It is agreed that advance payment be collected or funds be earmarked as prepayment in the securities brokerage trading.	The limit on type 2 applies.